STATE AGGREGATION FOR REINFORCEMENT LEARNING USING NEUROEVOLUTION

Robert Wright and Nathaniel Gemelli
Air Force Research Lab, Information Directorate, 525 Brooks Rd., Rome, 13441, U.S.A.

Keywords: Reinforcement learning, NeuroEvolution, Evolutionary algorithms, State aggregation.

Abstract: In this paper, we present a new machine learning algorithm, RL-SANE, which uses a combination of neuroevolution (NE) and traditional reinforcement learning (RL) techniques to improve learning performace. RL-SANE is an innovative combination of the neuroevolutionary algorithm NEAT(Stanley, 2004) and the RL algorithm Sarsa(λ)(Sutton and Barto, 1998). It uses the special ability of NEAT to generate and train customized neural networks that provide a means for reducing the size of the state space through state aggregation. Reducing the size of the state space through aggregation enables Sarsa(λ) to be applied to much more difficult problems than standard tabular based approaches. Previous similar work in this area, such as in Whiteson and Stone (Whiteson and Stone, 2006) and Stanley and Miikkulainen (Stanley and Miikkulainen, 2001), have shown positive and promising results. This paper gives a brief overview of neuroevolutionary methods, introduces the RL-SANE algorithm, presents a comparative analysis of RL-SANE to other neuroevolutionary algorithms, and concludes with a discussion of enhancements that need to be made to RL-SANE.

1 INTRODUCTION

Recent progress in the field of neuroevolution has lead to algorithms that create neural networks to solve complex reinforcement learning problems (Stanley, 2004). Neuroevolution refers to technologies which build and train neural networks through an evolutionary process such as a genetic algorithm. Neuroevolutionary algorithms are attractive in that they are able to automatically generate neural networks. Manual engineering, domain expertise, and extensive training data are no longer necessary to create effective neural networks. One problem with these algorithms is that they rely heavily on the random chance of mutation operators to produce networks of sufficient complexity and train them with the correct weights to solve the problem at hand. As a consequence, neuroevolutionary methods can be slow or unable to converge to a good solution. Traditional reinforcement learning (RL) algorithms on the other hand take calculated measures to improve their policies and have been shown to converge very quickly. However, RL algorithms rely on costly Q-tables or predetermined function approximators to enable them to work on complex problems. A hybrid of the two technologies has the potential to provide an algorithm with the advantages of both.

We present a new machine learning algorithm, which combines neuroevolution and traditional reinforcement learning techniques in a unique way. RL-SANE[1], Reinforcement Learning using State Aggregation via Neuroevolution, is an algorithm designed to take full advantage of neuroevolutionary techniques to abstract the state space into a more compact representation for a reinforcement learner that is designed to exploit its knowledge of that space. We have combined a neuroevolutionary algorithm developed by Stanley and Miikkulainen called NEAT(Stanley and Miikkulainen, 2001) with the reinforcement learning algorithm Sarsa(λ)(Sutton and Barto, 1998). Neural networks serve as excellent function approximators to abstract knowledge while reinforcement learners are inherently good at exploring and exploiting knowledge. By utilizing the

[1]It should be noted that RL-SANE is not related to the SANE algorithm(Moriarty and Miikkulainen, 1997), Symbiotic Adaptive NeuroEvolution. SANE is a competing neuroevolutionary algorithm with NEAT that uses a cooperative process to evolve NNs.

Wright R. and Gemelli N. (2009).
STATE AGGREGATION FOR REINFORCEMENT LEARNING USING NEUROEVOLUTION.
In *Proceedings of the International Conference on Agents and Artificial Intelligence*, pages 45-52
DOI: 10.5220/0001658800450052

strengths of both of these methods we have created a robust and efficient machine learning approach in RL-SANE.

The rest of the paper is organized as follows. Section 2 will give an overview of the problem on which are working and other state aggregation approaches that have been done. Section 3 will provide a full description and discussion on the RL-SANE algorithm. In sections 4.3 and 4.4 we will provide experimental results for RL-SANE. Section 4.3 provides insight into how the β parameter affects performance and how it can be determined. Section 4.4 completes the analysis with a comparison of RL-SANE's performance versus a standard neuroevolutionary approach, NEAT, on two standard benchmark problems. Finally, section 5 will highlight our future work.

2 BACKGROUND

The state aggregation problem for machine learning has started to gain more momentum over the past decade. Singh et al. used soft state aggregation methods in (Singh et al., 1995) and discussed how important compact representations are to learning methods. Stanley and Miikkulainen (Stanley and Miikkulainen, 2002) discuss using neural networks for state aggregation and learning and demonstrated promising results for real-world applications. Further improvements to neuroevolutionary approaches were done in Siebel et al. in (Siebel et al., 2007) and combinations of neuroevolution and reinforcement learning were studied in Whiteson and Stone in (Whiteson and Stone, 2006). Neuroevolution has been shown to be one of the strongest methods for solving common benchmarking problems, such as pole-balancing (Stanley and Miikkulainen, 2002). This section will highlight neuroevolution and describe the NEAT algorithm which we use as a benchmark to compare our work against.

2.1 Neuroevolution

Neuroevolution (NE) (Stanley and Miikkulainen, 2001) is a technology that encompasses techniques for the artificial evolution of neural networks. Traditional work in neural networks used static neural networks that were designed by subject matter experts and engineers. This method showed the power of neural networks in being able to model and learn non-linear functions. However, the static nature of these structures limited their scope and applicability. More recent advances in the field of neuroevolution have shown that it is possible to build and configure these networks dynamically through the use of special mutation operators in a genetic algorithm (GA) (Stanley, 2004). These advances have made it possible to automatically generate and train special purpose neural networks for solving difficult multi-parameter problems. We recognize similar work in this area as in Siebel et al. (Siebel et al., 2007), Stanley et al. (Stanley and Miikkulainen, 2001), and Whiteston and Stone (Whiteson and Stone, 2006) exists and below we describe one such algorithm, NEAT.

2.2 NEAT

NeuroEvolution of Augmenting Topologies (NEAT) is a neuroevolutionary algorithm and framework which uses a genetic algorithm to evolve populations of neural networks. It is a neuroevolutionary algorithm that evolves both the network topology and weights of the connections between the nodes in the network(Stanley and Miikkulainen, 2002). The authors, Stanley et al., found that by evolving both the network topology and the connection weights, they solved typical RL benchmark problems several times faster than competing RL algorithms(Stanley and Miikkulainen, 2001) with significantly less system resources. The algorithm starts with a population of simple perceptron networks and gradually, through the use of a genetic algorithm (GA), builds more complex networks with the proper structure to solve a problem. It is a bottom-up approach which takes advantage of incremental improvements to solve the problem. The results are networks that are automatically generated, not overly complicated in terms of structure, and custom tuned for the problem at hand.

Two of the most powerful aspects of NEAT which allow for such good benchmark performance and proper network structure are *complexification*(Stanley, 2004) and *speciation*(Stanley and Miikkulainen, 2002). *Complexification*, in this context, is an evolutionary process of constructing neural networks through genetic mutation operators. These genetic mutation operators are: modify weight, add link, and add node (Stanley, 2004). *Speciation* is a method for protecting newly evolved neural network structures (specie) into the general population, and prevent them from having to compete with more optimized species (Stanley, 2004). This is important to preserve new (possibly helpful) structures with less optimized network weights.

3 RL-SANE

RL-SANE is the algorithm we have developed which is a combination of NEAT and a RL algorithm (Sarsa(λ)(Sutton and Barto, 1998)). This combination was chosen because neural networks have been shown to be effective at reducing the complexities of state spaces for RL algorithms(Tesauro, 1995). NEAT was chosen as a way for providing the neural networks because it is able to build and train networks automatically and effectively. Sarsa(λ) was chosen because of its performance characteristics. Any NE algorithm that automatically builds neural networks can be substituted for NEAT and any RL algorithm can be substituted in Sarsa(λ)'s place, and RL-SANE should still function.

RL-SANE uses NE to evolve neural networks which are bred specifically to interpret and aggregate state information. NEAT networks take the raw perception values and filter the information for the RL algorithm by aggregating inputs together which are similar with respect to solving the problem[2]. This reduces the state space representation and enables traditional RL algorithms, such as Sarsa(λ), to function on problems where the raw state space of the problem is too large to explore. NEAT is able to create networks which accomplish the filtering by evolving networks that improve the performance of Sarsa(λ) in solving the problem. Algorithm 1 provides the pseudo code for RL-SANE and describes the algorithm in greater detail.

What differentiates RL-SANE from other algorithms which use NE to do learning, such as in NEAT, is that RL-SANE separates the two mechanisms that perform the state aggregation and policy iteration. Previous NE algorithms(Stanley and Miikkulainen, 2001)(Whiteson and Stone, 2006) use neural networks to learn a function which not only encompasses a solution to the state aggregation problem, but the policy iteration problem as well. We believe this overburdens the networks and increases the likelihood of "interference"(Carreras et al., 2002). In this context, "interference" is the problem of damaging one aspect of the solution while attempting to fix another. RL-SANE, instead, only uses the neural networks to perform the state aggregation and uses Sarsa(λ) to perform the policy iteration which reduces the potential impact of interference.

The purpose of the neural networks created by the NEAT component is to filter the state space for Sarsa(λ). They do this by determining if different combinations of perceptual inputs should be considered unique states with respect to the problem. This is done by mapping the raw multi-dimensional perceptual information onto a single dimensional continuum which is a real number line in the range [0..1]. The state bound parameter, β, divides the continuum into a specified number of discrete areas and provides an upper bound on the number of possible states there are in the problem. All points on the continuum within a single area are considered to be the same state by RL-SANE and are labeled with a unique state identifier. The output of the neural networks, given a set

Algorithm 1 RL-SANE(S,A,p,m_n,m_l,m_r,g,e,α,β,γ,λ,ε)

```
1: //S: set of all states, A: set of all actions
2: //p: population size, m_n: set of all states, m_l: link mutation rate
3: //m_r: link removal mutation rate, g: number of generations
4: //e: number of episodes per generation, α: learning rate
5: //β: state bound, γ: discount factor, λ: eligibility decay rate
6: //ε: ε-Greedy probability
7: P[] ← INIT-POPULATION(S,p) // create a new population P of random networks
8: Q_t[] ← new array size p // initialize array of Q tables
9: for i ← 1 to g do
10:     for j ← 1 to p do
11:         N,Q[] ← P[j], Q_t[j] // select network and Q table
12:         if Q[] = null then
13:             Q[]←new array size β // create a new Q table
14:         end if
15:         for k ← 1 to e do
16:             s,s' ←null, INIT-STATE(S) // initialize the state
17:             repeat
18:                 s'_id ←INT-VAL(EVAL-NET(N,s')*β) // determine the state id
19:                 with-prob(ε) a' ←RANDOM(A) // ε-Greedy action selection
20:                 else a' ←argmax_l Q[s'_id,l]
21:                 if s≠null then
22:                     SARSA(r,a,a',λ,γ,α,Q[],s_id,s'_id)//update Q table
23:                 end if
24:                 s_id,s,a←s'_id,s',a'
25:                 r,s' ←TAKE-ACTION(a')
26:                 N.fitness←N.fitness+r // update the fitness of the network
27:             until TERMINAL-STATE(s)
28:         end for
29:         Q_t[j]←Q[] // update array of Q tables
30:     end for
31:     P'[],Q'_t← new arrays size p // array for next generation
32:     for j←1 to p do
33:         P'[j],Q'_t[j]←BREED-NET(P[],Q_t[]) // make a new network and Q table based on parents
34:         with-probability m_n: ADD-NODE-MUTATION(P'[j])
35:         with-probability m_l: ADD-LINK-MUTATION(P'[j])
36:         with-probability m_r: REMOVE-LINK-MUTATION(P'[j])
37:     end for
38:     P[],Q_t[]←P'[],Q'_t[]
39: end for
```

[2]"With respect to the problem" in this paper refers to groupings made by NEAT which improve Sarsa(λ)'s ability to solve the problem, not necessarily the grouping of similar perceptual values.

of perception values, is the state identifier of the area the perception values map on to the continuum. RL-SANE uses this state identifier as input to the Sarsa(λ) RL component as an index into its *Q*-table. The *Q*-table is used to keep track of values associated with taking different actions in specific states. The networks which are best at grouping perception values that are similar with respect to solving the problem will enable Sarsa(λ) to learn how to solve the problem more effectively.

Sarsa(λ) provides a fitness metric for each network to NEAT by reporting the aggregate reward it received in attempting to learn the problem. This symbiotic relationship provides NEAT with a fitness landscape for discovering better networks. This also provides Sarsa(λ) with a mechanism for coping with complex state spaces, via reducing complexity. Reducing the complexity of the state space makes the problem easier to solve by reducing the amount of exploration needed to calculate a good policy. It also needs to be stated that *Q*-tables persist with the neural networks they are trained on throug out the evolution of the networks. When crossover occurs in NEAT'S GA, the *Q*-table of the primary parent gets passed on to the child neural network.

Selection of an appropriate or optimal state bound (β) is of great importance to the performance of the algorithm. If the selected state bound is too small, sets of perception values that are not alike with respect to the problem will be aggregated into the same state. This may hide relevant information from Sarsa(λ) and prevent it from finding the optimal, or even a good, policy. If the state bound is too large, then perception sets that should be grouped together may map to different states. This forces Sarsa(λ) to experience and learn more states than are necessary. Effectively, this slows the rate at which Sarsa(λ) is able to learn a good policy because it is experiencing and exploring actions for redundant states, causing bloat. Bloat makes the *Q*-tables larger than necessary, increasing the memory footprint of the algorithm. Improper state bound values have adverse effects on the performance of RL-SANE. Section 4.3 provides some insight into how varying β values affects RL-SANE's performance and gives guidance on how to set β.

We recognize that RL-SANE is not the first algorithm that attempts to pair NE techniques with a traditional RL algorithm. NEAT+Q by Whiteson and Stone (Whiteson and Stone, 2006) combines *Q*-learning (Watkins and Dayan, 1992) with NEAT in a different way than RL-SANE. In NEAT+Q the neural networks are meant to output literal *Q*-values for all the actions available in the given state. The networks are trained on-line to produce the correct *Q*-values via the *Q*-learning update function and the use of back-propagation (Rumelhart et al., 1988). We attempted to use NEAT+Q in our analysis of RL-SANE but we were unable to duplicate the results found in (Whiteson and Stone, 2006), so we are unable to present a comparison. Also, both RL-SANE and NEAT+Q are not easily adapted to work on problems with continuous action spaces, whereas NEAT is. RL-SANE and NEAT+Q both make discrete choices of actions.

4 EXPERIMENTS

This section describes our experimental setup for analyzing the performance of the RL-SANE algorithm. In our experiments we compare RL-SANE's performance on two well known benchmark problems, mountain car (Boyan and Moore, 1995) and double inverted pendulum (Gomez and Miikkulainen, 1999), against itself with varying β values and against that of NEAT. NEAT was chosen as the benchmark algorithm of comparison because it is a standard for neuroevolutionary algorithms (Whiteson and Stone, 2006).

Both of the algorithms for our experiments were implemented using Another NEAT Java Implementation (ANJI)(James and Tucker, 2004). ANJI is a NEAT experimentation platform based on Kenneth Stanley's original work written in Java. ANJI includes a special mutation operator not included in the original NEAT algorithm that randomly prunes connections in the neural networks. This mutation operator is beneficial in that it attempts to remove redundant and excess structure from the neural networks. The prune operator was enabled and used by each algorithm in our experiments. For our experiments we used the default parameters for ANJI with the exceptions that we disallowed recurrent networks and we limited the range of the weight values to be *[-1..1]*. We disallowed recurrency to reduce their overall complexity of the networks and we bounded the link weights so the calculation of the state from the output signal is trivial.

4.1 Mountain Car

The mountain car problem (Boyan and Moore, 1995) is a well known benchmark problem for reinforcement learning algorithms. In this problem a car begins somewhere in the basin of a valley, of which it must escape. See Figure 1 for an illustration of the problem. Unfortunately, the car's engine is not powerful enough to drive directly up the hill from a standing start at the bottom. To get out of the valley and reach the goal position the car must build up momentum

from gravity by driving back and forth, up and down each side of the valley.

For this problem the learner has two perceptions: the position of the car, X, and the velocity of car, V. Time is discretized into time steps and the learner is allowed one of two actions, drive forward or backward, during each time step. The only reward the learner is given is a value of -1 for each time step of the simulation in which it has not reached the goal. Because the RL algorithms are seeking to maximize aggregate rewards, this negative reward gives the learner an incentive to learn a policy which will reach the goal in as few time steps as possible.

The mountain car problem is a challenging problem for RL algorithms because it has continuous inputs. The problem has an almost infinite number of states if each set of distinct set perceptual values is taken to be a unique state. It is up to the learner or the designer of the learning algorithm to determine under what conditions the driver of the car should consider a different course of action.

In these experiments the populations of neural networks for all of the algorithms have two input nodes, one for X and one for V, which are given the raw perceptions. NEAT networks have three output nodes (one for each direction the car can drive plus coasting) to specify the action the car should take. RL-SANE networks have a single output node which is used to identify the state of the problem.

Individual episodes are limited to 2500 time steps to ensure evaluations will end. Each algorithm was recored for 25 different runs using a unique random seed for the GA. The same set of 25 random seeds were used in evaluating all three algorithms. Runs were composed of 100 generations in which each member of the population was evaluated over 100 episodes per generation. The population size for the GA was set to 100 for every algorithm. Each episode challenged the learner with a unique instance of the problem from a fixed set that starts with the car in a different location or having a different velocity. By varying the instances over the episodes we helped ensure the learners were solving the problem and not just a specific instance.

4.2 Double Inverted Pendulum Balancing

The double inverted pendulum balancing problem (Gomez and Miikkulainen, 1999) is a very difficult RL benchmark problem. See figure 1 for an illustration of this problem. In this problem the learner is tasked with learning to balance two beams of different mass and length attached to a cart that the learner

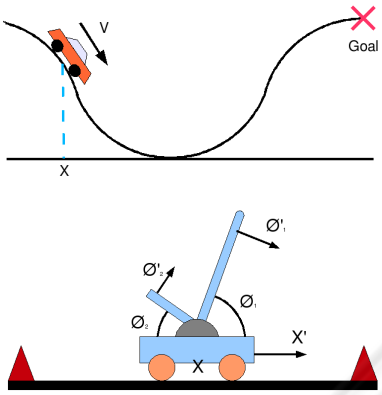

Figure 1: These figures illustrate the two RL benchmark problems, (Above)mountain car and (Below) double inverted pendulum balancing.

can move. The learner has to prevent the beams from falling over without moving the cart past the barriers which restrict the cart's movement. If the learner is able to prevent the beams from falling over for a specified number of time steps the problem is considered solved.

The learner is given six perceptions as input: X is the position of the cart, X' is the velocity of the cart, θ 1 and 2 are the angles of the beam, and θ' 1 and 2 are the angular velocities of the beams. At any given time step the learner can do one of the following actions: push the cart left, push the cart right, or not push the cart at all.

Like the mountain car problem, this problem is very difficult for RL algorithms because the perception inputs are continuous. This problem is much more difficult in that it has three times as many perceptions giving it a dramatically larger state space. In these experiments each algorithm trains neural networks that have six input nodes, one for each perception. NEAT has three output nodes, one for each action. RL-SANE only has one output node for the identification of the current state.

In this set of experiments the algorithms were tasked with creating solutions that could balance the pendulum for 100000 time steps. Each algorithm was evaluated over 25 different runs where the random seed was modified. Again, the same set of random seeds was used in evaluating all three algorithms. Runs were composed of 200 generations with a population size of 100. In each generation, every member of the population was evaluated on 100 different instances of the inverted pendulum problem. The average number of steps the potential solution was able to balance the pendulum over the set of 100 determined its fitness.

4.3 Changing the Size of State Bounds

Figure 3 shows convergence graphs for RL-SANE using varying β values, the state bound, on both benchmark problems. The graphs show the average number of steps the most fit members of the population were able to either solve the mountain car problem in or balance the beams for. For the mountain car experiments fewer steps taken is better and for the double inverted pendulum problem the more steps the beams were balanced for the better. For these experiments we varied the β parameter from equal to the number of actions available in the problems (3) up to 1000. The purpose of these experiments is to show how varying the β parameter affects the performance of the RL-SANE algorithm.

When β is set equal to the number of actions available the neural networks do all the work. They have to identify the state and determine the policy. All the Sarsa(λ) component is doing is choosing which action best corresponds with the output of the network. What is interesting is if you compare the corresponding graphs from figure 3 and figure 4 when β equals 3 the performance of RL-SANE is still better than that of NEAT. This is interesting because the networks from both algorithms are both performing the same function and are effectively produced by the same algorithm, NEAT. The reason RL-SANE has an advantage over standard NEAT in this case is that Sarsa(λ) helps RL-SANE choose the best action corresponding with the network output whereas the actions in NEAT are fixed to specific outputs. This result shows that RL-SANE's performance on problems with discrete actions spaces is going to be as good or better than that of NEAT if β is set to a small value. Smaller β values preferred because they limit the size of the *Q*-tables RL-SANE requires and it improves the solution's generality.

As β is increased from 3 to larger values we see the performance of RL-SANE improve, but once the value of β exceeds a certain value the performance of RL-SANE begins to drop. The performance increase can be attributed to a burden shift from the NN to the RL component. Sarsa(λ) is much more efficient at performing the policy iteration than the NEAT component. Eventually the performance degrades because the NN(s) begin to identify redundant states as being different, which increases the amount of experience necessary for the RL component to learn. This behavior is what we expected and shows that there might convex fitness landscape for β values. A convex fitness landscape would mean that proper β values could be found by a simple hill climbing algorithm instead of having to specify them *a priori*.

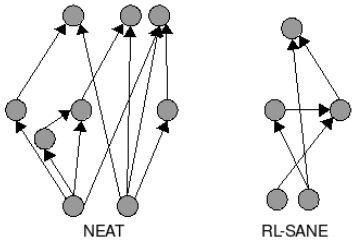

Figure 2: This figure shows the structure of typical solution neural networks for the mountain car problem. The β value for RL-SANE was set to 50.

It is also interesting that the double inverted pendulum problem runs are more sensitive to the value of β than the mountain car problem runs are. We are not certain of the reason for this behavior. This requires further investigation, and we hypothesize that more complex problems will be more sensitive to β value selection.

4.4 NEAT Comparison

Figure 4 show convergence graphs comparing the RL-SANE to NEAT on the benchmarks. The error bars on the graphs indicate 95% confidence intervals over the entire set of experiments to show statistical significance of the results. As can be seen from the charts the RL-SANE algorithm is able to converge to the final solution in far fewer generations and with a greater likelihood of success than NEAT in both sets of experiments. NEAT is only able to solve the entire double inverted pendulum problem set in just 2 of the 25 runs of experiments. The performance difference between RL-SANE and NEAT increases dramatically from the mountain car to double inverted pendulum problem which implies that RL-SANE may scale to more difficult problems better than NEAT.

Figures 2 and 5 show the structure of typical solution NN(s) for both RL-SANE and NEAT on both problems. In both figures the RL-SANE networks are much less complex than the NEAT networks. These results are not very surprising in that the RL-SANE networks are performing a less complicated function, state aggregation. NEAT networks need to perform their own version of state abstraction and policy iteration. The fact the RL-SANE networks do not need to be as complicated as NEAT networks explains why RL-SANE is able to perform better than NEAT on these benchmarks. If the NN's do not need to be as complex they have a greater likelihood of being produced by the GA earlier in the evolution. This result also supports our belief that RL-SANE will scale better to more complex problems than standard NEAT.

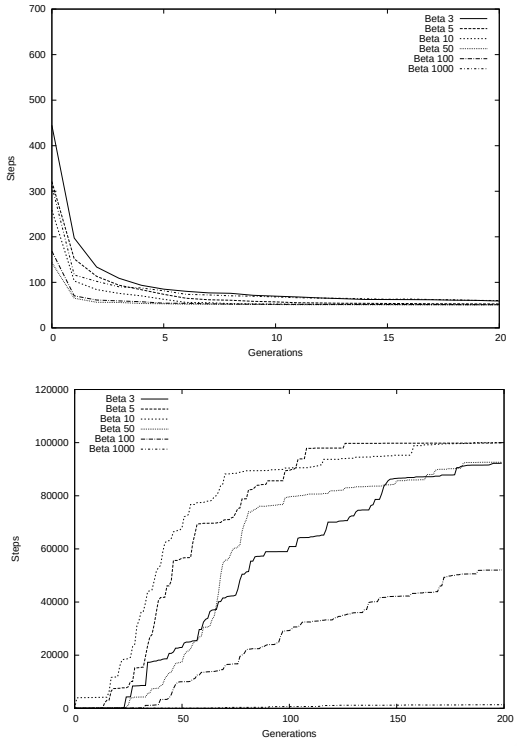

Figure 3: Shows the performance of the RL-SANE algorithm using varying β values on the mountain car (Above) and the double inverted pendulum (Below) problems.

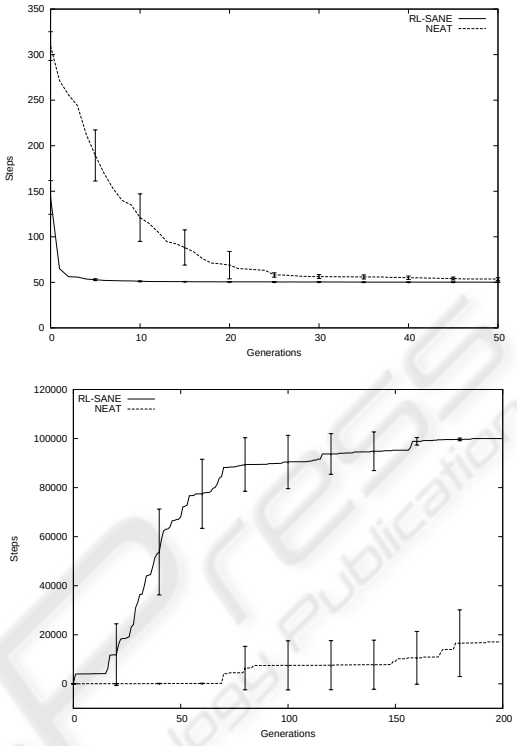

Figure 4: Shows the performance of the RL-SANE algorithm compared to that of NEAT on the mountain car (Above) and the double inverted pendulum (Below) problems. The RL-SANE runs used a β value of 50 for the mountain car problem and 10 for the double inverted pendulum problem.

5 FUTURE WORK

5.1 State Bounding

RL-SANE, in its current form, depends on the state bound parameter, β, for the problem to be known *a priori*. For an algorithm that is intended to reduce or eliminate the need for manual engineering or domain expertise. In many cases, this *a prior* knowledge is not available. Much of our future research effort will be placed on developing a method for automatically deriving the state bound value. For reasons stated in section 3 and 4.3 we believe that the problem of finding good β values has a convex landscape that a hill climbing algorithm, such as a GA, can properly search. In our future research we will explore different methods for calculating β automatically, even perhaps during the evolution of solving the problem.

5.2 RL-SANE Scaling

Our background research on RL and methods for handling large state spaces revealed a lack of work done

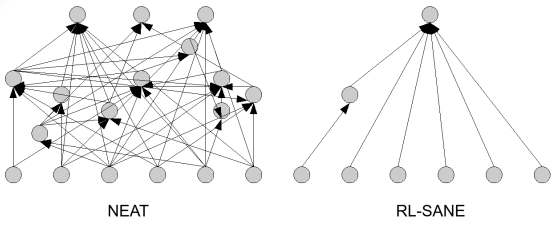

Figure 5: This figure shows the structure of typical solution neural networks for the double inverted pendulum problem. The β value for RL-SANE was set to 10.

to examine just how well these methods scale towards ever more complicated problems. This is surprising considering that there are so many algorithms designed to improve the scaling of RL algorithms towards larger state spaces. In the works that we have examined, the authors generally chose a single instance of a problem that was difficult or impossible for existing algorithms. They then showed how their algorithms could solve that instance. In our future work we intend to perform experiments that stress and

examine the scalability of RL-SANE and the other neuroevolutionary based algorithms, such as NEAT, NEAT+Q, and EANT (Siebel et al., 2007), to find out just how far these algorithms can be pushed.

6 CONCLUSIONS

In this paper, we have introduced the RL-SANE algorithm, explored its performance under varying β values, and provided a comparative analysis to other neuroevolutionary learning approaches. Our experimental results have show that RL-SANE is able to converge to good solutions over less iterations and with less computational expense than NEAT even with naively specified β values. The combination of neuroevolutionary methods to do state aggregation for traditional reinforcement learning algorithms appears to have real merit. RL-SANE is, however, dependent on the β parameter which must be calculated *a priori*. We have shown the importance of the derivation of proper β parameters and suggested finding methods for automating the derivation of β as a direction for future research.

Building off of what has been done by previous neuroevolutionary methods, we have found that proper decomposition of the problem into state aggregation and policy iteration is relevant. By providing this decomposition, RL-SANE should be more applicable to higher complexity problems than existing approaches.

REFERENCES

Boyan, J. A. and Moore, A. W. (1995). Generalization in reinforcement learning: Safely approximating the value function. In Tesauro, G., Touretzky, D. S., and Leen, T. K., editors, *Advances in Neural Information Processing Systems 7*, pages 369–376, Cambridge, MA. The MIT Press.

Carreras, M., Ridao, P., Batlle, J., Nicosebici, T., and Ursulovici, Z. (2002). Learning reactive robot behaviors with neural-q learning. In *IEEE-TTTC International Conference on Automation, Quality and Testing, Robotics*. IEEE.

Gomez, F. J. and Miikkulainen, R. (1999). Solving non-markovian control tasks with neuro-evolution. In *IJCAI '99: Proceedings of the Sixteenth International Joint Conference on Artificial Intelligence*, pages 1356–1361, San Francisco, CA, USA. Morgan Kaufmann Publishers Inc.

James, D. and Tucker, P. (2004). A comparative analysis of simplification and complexification in the evolution of neural network topologies. In *Proceedings of the 2004 Conference on Genetic and Evolutionary Computation*. GECCO-2004.

Moriarty, D. E. and Miikkulainen, R. (1997). Forming neural networks through efficient and adaptive coevolution. *Evolutionary Computation*, 5:373–399.

Rumelhart, D. E., Hinton, G. E., and Williams, R. J. (1988). Learning representations by back-propagating errors. *Neurocomputing: foundations of research*, pages 696–699.

Siebel, N. T., Krause, J., and Sommer, G. (2007). *Efficient Learning of Neural Networks with Evolutionary Algorithms*, volume Volume 4713/2007. Springer Berlin / Heidelberg, Heidelberg, Germany.

Singh, S. P., Jaakkola, T., and Jordan, M. I. (1995). Reinforcement learning with soft state aggregation. In Tesauro, G., Touretzky, D., and Leen, T., editors, *Advances in Neural Information Processing Systems*, volume 7, pages 361–368. The MIT Press.

Stanley, K. O. (2004). *Efficient evolution of neural networks through complexification*. PhD thesis, The University of Texas at Austin. Supervisor-Risto P. Miikkulainen.

Stanley, K. O. and Miikkulainen, R. (2001). Evolving neural networks through augmenting topologies. Technical report, University of Texas at Austin, Austin, TX, USA.

Stanley, K. O. and Miikkulainen, R. (2002). Efficient reinforcement learning through evolving neural network topologies. In *GECCO '02: Proceedings of the Genetic and Evolutionary Computation Conference*, pages 569–577, San Francisco, CA, USA. Morgan Kaufmann Publishers Inc.

Sutton, R. S. and Barto, A. G. (1998). *Reinforcement Learning: An Introduction (Adaptive Computation and Machine Learning)*. The MIT Press.

Tesauro, G. (1995). Temporal difference learning and td-gammon. *Commun. ACM*, 38(3):58–68.

Watkins, C. J. C. H. and Dayan, P. (1992). Q-learning. *Machine Learning*, 8(3-4):279–292.

Whiteson, S. and Stone, P. (2006). Evolutionary function approximation for reinforcement learning. *Journal of Machine Learning Research*, 7:877–917.